

UN-Habitat Evaluation Plan for 2016-2017 & Its implementation status as of 15 December 2017

Introduction

UN-Habitat's evaluation function has improved through the creation of a dedicated Evaluation Unit in 2012, adoption of the evaluation policy in 2013 and institutionalization of evaluation management responses and follow-up on recommendations from evaluations. In 2015, the *Revised UN-Habitat Evaluation Framework* was adopted to increase evaluation coverage, assess projects at risk and improve procedures for planning and costing of evaluation in projects. The framework comes with four performance targets: 1) 100% of projects of value US\$1 million and above is evaluated; 2) 10% of projects of value USD1-1,000,000 is evaluated; 3) At least one country programme evaluation for each 2 year Programme of Work in each of the four regions; and 4) Two corporate evaluations are conducted per year.

Priority-setting of the 2016-2017 Evaluation Plan

According to the *UN-Habitat Evaluation Policy* (2013), the purpose of the Evaluation Plan is to prioritize and plan for evaluations to be conducted by UN-Habitat as well as other evaluation activities. Main types of evaluations conducted are projects and programmes, country programme, thematic and corporate evaluations. The evaluation plan is prepared by the Evaluation Unit. The plan is updated on an annual basis and approved by the UN-Habitat Senior Management Board.

This biennial plan is developed using a priority-setting and benchmarks. The UN-Habitat Revised Evaluation Framework requires that all projects of US\$1 million and above have an end-of-project evaluation. For projects below US\$1 million, end-of-project evaluation or self-evaluation is conducted. For 2016-2017, the target for evaluating closing projects with a value of over USD 1 Million is 60%.

Evaluation priorities for 2016-2017

The evaluation plan for 2016-2017 represents UN-Habitat's work at global, Regional and country levels. Specifically, the following evaluations will be prioritized:

- *Global Programme evaluations:* Achieving Sustainable Urban Development (ASUD); and Global Land Tool Network (GLTN) Phase II, Participatory Slum Upgrading Programme (PSUP) II;
- *Country Programme evaluation :* Jordan, Egypt (TBC), Democratic Republic of Congo(TBC), Sri Lanka; and
- *Subprogramme Evaluations :* Evaluation of the City Planning Component of subprogramme 2
- *Thematic Evaluation:* Participatory Slum Upgrading Programme (PSUP) II, end-of-project evaluation; Evaluation of UN-Habitat Youth Programme; Evaluation of Gender Equality.
- *Corporate Evaluations:* Regional Office for Arab States; Cooperation Agreement between UN-Habitat and Sweden 2012-2015; evaluability assessment of the strategic Plan 2014-2019; Midterm Evaluation of the Strategic Plan 2014-2019.
- *Project evaluation:* Target is 60% closing projects with value of over USD1 million.

Some evaluations will be managed by the Evaluation Unit (centralized evaluations) and other evaluations will be managed by project managers (decentralized evaluations) with technical support of the Evaluation Unit. Evaluations may also be managed by the donor, as it is the case of final evaluation of the Participatory Slum Upgrading Programme II by the European Union.

UN-Habitat Evaluation Plan for 2016-2017

Note: Each evaluation process includes developing of Terms of Reference, setting up of evaluation team, conducting of evaluation; follow-up by management response and monitoring of implementation of evaluation recommendations.

Centralized Evaluations (Evaluations managed by the Evaluation Unit)																						
Status	Topic of Evaluation	Type	Requested by	Source Eval. Budget	Responsible Office	Related Focus Area	Year	Actual timeline for 2016 -2017														
								January	February	March	April	May	June	July	August	September	October	November	December			
Completed	Evaluation of the Regional Office for Arab States	Corporate	Management	Project funds	ROAS	All	2016															
Completed	Evaluation of the Cooperation Agreement between UN-Habitat and Sweden, 2012-2015	Programme	Donor/Management	PCA	External Relations	All	2016)															
Completed	Evaluability Study of the Strategic Plan 2014-2019	Corporate	OIOS	-	Evaluation Unit	All	2016															
TOR available	Evaluation of UN-Habitat Youth Programme	Thematic	Governing Council	Project funds	UEB	Cross-cutting issues (Youth)	2017															
Completed	Achieving Sustainable Urban Development Priorities (ASUD)	Programme	OIOS/Management	Project funds	ULLG	Urban Legislation, Land and Governance	2017															
Completed	Evaluation of UN-Habitat Country Programme In Afghanistan	Country Programme	Management	Project Funds	ROAP	Risk reduction and rehabilitation, Urban basic services	2017															
Completed	Mid-term evaluation of the implementation of the UN-Habitat's Strategic Plan 2014-2019	Corporate	Governing Council	Core funding	All	All	2017															

Completed	Evaluation of the Seventh Session of the World Urban Forum	Corporate	GC /Management	WUF funding	External Relations Division	External Relations Division	2016													
In progress	Evaluation of the Global Land Tool Network Phase 2	Project	Donor	Project funds	ULLGB/ GLTN Unit	Urban Legislation, Land and Governance	2017													
In progress	Evaluation of UN-Habitat's City Planning and Design Strategy, including the Public Space Programme and Urban Planning and Design Lab, 2012-2016 [City Planning component of subprogramme 2]	Subprogramme	Management	Project funds	UPDB	Urban Planning and Design Branch	2017													
Not started	Evaluation of the Gender Equality in UN-Habitat's Policies and Programmes	Thematic	Management	Project funds (Sida)	PD	Cross-cutting issues Gender	2017													
Not started	DRC Country Programme Evaluation (ROAf)	Country Programme	Management	Project funds	ROAf	ROAf	2017													
In Progress	Sri Lanka Country Programme Evaluation (ROAP)	Country Programme	Management	Project funds	ROAf	ROAf	2017													
In Progress	Water, Sanitation and Hygiene in Disaster Prone Communities in Northern Ghana	Joint Programme	Management	Project funds	UBSB	UBSB	2017													
In Progress	Evaluation of the Global Water Operators' Partnerships Alliance (GWOPA) Strategy, 2013-2017	Programme	Management	Project funds	UBSB	UBSB	2017													
Completed	Land Administration and Management Programme (LAMP)	Project	Management	Project funds	ROAP	ROAP	2016													

Completed	Evaluation of the UN-Habitat Jordan Affordable Housing Programme phase 1	Programme	Management	Project funds	ROAS	ROAS	2016												
Completed	Evaluation of the Open UN-Habitat Transparency Initiative	Project	Management	Project funds	External Relations Division	External Relations Division	2016												
Completed	Evaluation of UN-Habitat Country Programme In Afghanistan	Country Programme	Management	Project Funds	ROAP	Risk reduction and rehabilitation, Urban basic services	2017												

Decentralized Evaluations (Evaluations to be managed by programme/project managers)

*Completed	Urban Planning and Design for sustainable Urban Development	Project	Donor/Management	Project funds	UPDB	UPDB	2016												
*Completed	SUD-Net (Networking and Public Space Projects)	Project	Donor/Management	Project funds	UPDB	UPDB	2016												
*Completed	Improving urban legal Frameworks for the extension and Densification of Cities	Project	Donor/Management	Project funds	UPDB	UPDB	2016												
*Completed	Promotion of Pro-poor Land and Housing: Sustainable Housing, slum upgrading and community Development/ Mainstreaming Human Rights in Human Settlements	Project	Donor/Management	Project funds	Housing and slum upgrading	Housing and slum upgrading	2016												
*Completed	Achieving Sustainable Urban Development in Mozambique	Programme	ROAF	Programme funds	ROAF	ROAF	2017												

*Completed	Achieving Sustainable Urban Development in Rwanda	Programme	ROAF	Programme funds	ROAF	ROAF	2017												
*Completed	Achieving Sustainable Urban Development Priorities in Egypt	Programme	ROAS	Programme funds	ROAS	ROAS	2017												
*Completed	Achieving Sustainable Urban Development Priorities in Philippines	Programme	ROAP	Programme funds	ROAP	ROAP	2017												
*Completed	Popular Economy of the Agglomeration Areas of Bogota in Colombia	Programme	ROLAC	Programme funds	ROLAC	ROLAC	2017												
*Completed	Capacity Building in the City of Santa Marta for Sustainable Urban Development in Colombia	Programme	ROLAC	Programme funds	ROLAC	ROLAC	2017												
*Completed	Support and Assistance of the Enhancement of the Mayor's Office of Medellin in the Municipal Development Plan of 'Let's build a Home for Life' in Colombia	Programme	ROLAC	Programme funds	ROLAC	ROLAC	2017												
*Completed	Formulating the National Policy for the System of Cities and Institutional Strengthening for the Association of Colombian Capital Programme Cities in Colombia	Programme	ROLAC	Programme funds	ROLAC	ROLAC	2017												
*Completed	National Solidarity Programme Phase III 2012-2016	Programme	ROAP	Programme funds	ROAP	ROAP	2017												
*Completed	Community-Based Municipal Support Programme 2013-2015	Programme	ROAP	Programme Funds	ROAP	ROAP	2017												

*Completed	Community-Led Urban Infrastructure Programme	Programme	ROAP	Programme Funds	ROAP	ROAP	2017													
*Completed	State of Afghan Cities Programme	Programme	ROAP	Programme Funds	ROAP	ROAP	2017													
*Completed	The future of Afghan Cities Programme	Programme	ROAP	Programme Funds	ROAP	ROAP	2017													
Not started	Lebanon Country Programme Evaluation (ROAS)	Programme	Management	Project funds	ROAS	ROAS	2016													
Not started	Egypt Country Programme Evaluation	Programme	Management	Project funds	ROAS	ROAS	2016													
Not started	Morocco Country Programme Evaluation	Programme	Management	Project funds	ROAS	ROAS	2016													
Not started	Palestine Country Programme Evaluation	Programme	Management	Project funds	ROAS	ROAS	2017													
Not started	Evaluation of Cooperation with ICLEI on Urban Low Emission Development Strategy (LEDS) (J088) (final)	Project	Project manager	Project funds	UPDB/CCPU	Urban Planning and Design	2015													
Not started	Evaluation of UN-Habitat's Support to the Land commission of Liberia and Prevention of Land Disputes, Phase II	Project	Sida	Project funds	ROAf	Urban Legislation, Land and Governance	2015/2016													
Not started	Participatory Slum Upgrading Programme in ACP Countries – end of project evaluation	Project	EC	EC	HSUB	Housing and Slum Upgrading	2016													
Not started	Iraq Public Sector modernization Programme (IPSM) Phase II	Project	Policy	Project funds	Iraq ROAS	Urban Legislation, Land and Governance	2017													

						Urban Basic Services													
Not started	Humanitarian support to IDPs in the Republic of Iraq including the Kurdistan Region of Iraq through provision of durable shelter	Project	Policy	Project funds	Iraq ROAS	Risk reduction and rehabilitation	2017												
Not started	Implementation of the Biennial Programme of Cooperation between UN-Habitat and the Government of the Russian Federation for 2006-2007	Project	Policy	Project funds	Programme Division		2016												
Not started	City Resilience Profiling Programme	Project	Policy	Project funds	Risk Reduction and Rehabilitation Branch	Risk Reduction and Rehabilitation	2016												
Not started	The Africa Urban Agenda	Project	Policy	Project funds	External Relations / Nigeria	Multiple	2016												
Not started	From Camps Transformation to Urban Revitalization (CATUR project), Port-au-Prince, Haiti	Project	Policy	Project funds	Haiti/ROLAC	Urban Planning and Design	2016												

Other Priority Activities to be Implemented by the Evaluation Unit in 2016-2017

Contin uous	Preparation of Evaluation Briefs as a strategy to increase uptake of evaluations	Institution al	Donor/CPR/Manag ement		Evaluatio n Unit	All	2016 - 2017													
Contin uous	Follow-up to Evaluation implementation of evaluation recommendations using On-line Evaluation Recommendation Tracking System	Institution al	Donor/ Management		Evaluatio n Unit	All	2016- 2017													
Compl eted	Manual for Evaluation and Capacity Building of Staff in Evaluation	Institution al	Management		Evaluatio n Unit	All	2017													
Contin uous	UN System-wide Collaboration Activities Related to OIOS, JIU, UNEG, MOPAN, NIEN and Other Professional Networks	UN System wide	UNEG, OIOS, JIU, NIEN		Evaluatio n Unit	All	2016- 2017													
Contin uous	Translation, editing, layout and printing of evaluation reports	Institution al	Management		Evaluatio n Unit	All	2016- 2017													

Note:

- * Marks projects evaluated under umbrella programme of ASUD
- ** Marks projects evaluated under country programme of Afghanistan